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PTO/SB/05 (08-00)
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UTILITY PATENT APPLICATION TRANSMITTAL (Only for new nonprovisional applications under 37 CFR 1.53(b))		Attorney Docket No.	MB4315.001
		First Inventor	DAN C. MORGAN
		Title	ON-LINE SIGNATURE VERIFICATION OF COLLECTIBLES
		Express Mail Label No.	EL304877967US

APPLICATION ELEMENTS <i>See MPEP chapter 600 concerning utility patent application contents.</i>	ADDRESS TO: Assistant Commissioner for Patents Box Patent Application Washington, DC 20231
1. <input checked="" type="checkbox"/> Fee Transmittal Form (e.g., PTO/SB/17) <i>(Submit an original and a duplicate for fee processing)</i>	7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program (<i>Appendix</i>)
2. <input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27.	8. Nucleotide and/or Amino Acid Sequence Submission <i>(if applicable, all necessary)</i> a. <input type="checkbox"/> Computer Readable Form (CRF) b. Specification Sequence Listing on: i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or ii. <input type="checkbox"/> paper c. <input type="checkbox"/> Statements verifying identity of above copies
3. <input checked="" type="checkbox"/> Specification [Total Pages <u>13</u>] <i>(preferred arrangement set forth below)</i> - Descriptive title of the invention - Cross Reference to Related Applications - Statement Regarding Fed sponsored R & D - Reference to sequence listing, a table, or a computer program listing appendix - Background of the Invention - Brief Summary of the Invention - Brief Description of the Drawings <i>(if filed)</i> - Detailed Description - Claim(s) - Abstract of the Disclosure	ACCOMPANYING APPLICATION PARTS 9. <input type="checkbox"/> Assignment Papers (cover sheet & document(s)) 10. <input type="checkbox"/> 37 CFR 3.73(b) Statement <input type="checkbox"/> Power of Attorney <i>(when there is an assignee)</i> 11. <input type="checkbox"/> English Translation Document <i>(if applicable)</i> 12. <input checked="" type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <u>9</u> Copies of IDS Citations 13. <input type="checkbox"/> Preliminary Amendment 14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) <i>(Should be specifically itemized)</i> 15. <input type="checkbox"/> Certified Copy of Priority Document(s) <i>(if foreign priority is claimed)</i> 16. <input type="checkbox"/> Other:
4. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113) [Total Sheets <u>3</u>]	
5. Oath or Declaration [Total Pages <u>2</u>] a. <input checked="" type="checkbox"/> Newly executed (original or copy) Copy from a prior application (37 CFR 1.63 (d)) b. <input type="checkbox"/> <i>(for continuation/divisional with Box 17 completed)</i> i. <input type="checkbox"/> DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).	
6. <input type="checkbox"/> Application Data Sheet. See 37 CFR 1.76	

17. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:
☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.: _____
Prior application information: Examiner _____ Group / Art Unit: _____
For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

18. CORRESPONDENCE ADDRESS				
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or <input type="checkbox"/> Correspondence address below				
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Address				
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Country		Telephone	Fax	

Name (Print/Type)	Molly D. McKay	Registration No. (Attorney/Agent)	35,609
Signature	<i>Molly D. McKay</i>		Date 12/7/00

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Patent fees are subject to annual revision

Complete if Known

Application Number	
Filing Date	12/07/00
First Named Inventor	DAN C. MORGAN
Examiner Name	
Group Art Unit	
Attorney Docket No.	MB4315.001

FEE CALCULATION (continued)

- ### FEE CALCULATION

	Large Entity	Small Entity
1. Revenue Recognition	Revenue is recognized when the performance obligation is satisfied, which is when control of the goods or services is transferred to the customer.	Revenue is recognized when the performance obligation is satisfied, which is when control of the goods or services is transferred to the customer.
2. Cost of Sales	Cost of sales is recognized when the revenue is recognized, and it is measured at the cost incurred to produce the goods or services.	Cost of sales is recognized when the revenue is recognized, and it is measured at the cost incurred to produce the goods or services.
3. Impairment of Financial Assets	Impairment of financial assets is recognized when there is a significant increase in the credit risk of the asset, and the impairment is measured at the difference between the carrying amount and the present value of the expected cash flows.	Impairment of financial assets is recognized when there is a significant increase in the credit risk of the asset, and the impairment is measured at the difference between the carrying amount and the present value of the expected cash flows.
4. Provisions and Contingent Liabilities	Provisions and contingent liabilities are recognized when there is a present obligation that arises from a past event, and the obligation is measured at the best estimate of the amount required to settle the obligation.	Provisions and contingent liabilities are recognized when there is a present obligation that arises from a past event, and the obligation is measured at the best estimate of the amount required to settle the obligation.
5. Share-based Payments	Share-based payments are recognized when the entity grants or exercises equity instruments, and the payment is measured at the fair value of the equity instruments.	Share-based payments are recognized when the entity grants or exercises equity instruments, and the payment is measured at the fair value of the equity instruments.
6. Financial Instruments	Financial instruments are recognized when the entity enters into a contract that gives rise to a financial asset or financial liability, and the instrument is measured at the fair value of the instrument.	Financial instruments are recognized when the entity enters into a contract that gives rise to a financial asset or financial liability, and the instrument is measured at the fair value of the instrument.
7. Income Tax	Income tax is recognized when the entity is liable to pay income tax, and the tax is measured at the best estimate of the amount required to settle the liability.	Income tax is recognized when the entity is liable to pay income tax, and the tax is measured at the best estimate of the amount required to settle the liability.
8. Other	Other items are recognized when the entity enters into a contract that gives rise to a financial asset or financial liability, and the item is measured at the fair value of the item.	Other items are recognized when the entity enters into a contract that gives rise to a financial asset or financial liability, and the item is measured at the fair value of the item.

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
101	710	201	355	Utility filing fee	355.00
106	320	206	160	Design filing fee	
107	490	207	245	Plant filing fee	
108	710	208	355	Reissue filing fee	
114	150	214	75	Provisional filing fee	

SUBTOTAL (1)	(\$) 355.00
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	Extra Claims	Fee from below	Fee Paid
Total Claims <input type="text" value="4"/>	-20** = <input type="text"/>	X <input type="text"/>	= <input type="text" value="0"/>
Independent Claims <input type="text" value="2"/>	-3** = <input type="text"/>	X <input type="text"/>	= <input type="text" value="0"/>
Multiple Dependent			= <input type="text" value="0"/>

Large Entity		Small Entity		Fee Description
Fee Code	Fee (\$)	Fee Code	Fee (\$)	
103	18	203	9	Claims in excess of 20
102	80	202	40	Independent claims in excess of 3
104	270	204	135	Multiple dependent claim, if not paid
109	80	209	40	** Reissue independent claims over original patent
110	18	210	9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2)

(\$)**0**

*or number previously paid, if greater; For Reissues, see above

	Large Entity	Small Entity
1. Revenue	More than \$5 million	Less than \$5 million
2. Assets	More than \$10 million	Less than \$10 million
3. Employees	More than 100	Less than 100
4. Debt	More than \$1 million	Less than \$1 million
5. Equity	More than \$1 million	Less than \$1 million
6. Complexity	More complex	Less complex
7. Industry	More volatile	Less volatile
8. Geography	More international	Less international
9. Regulation	More regulated	Less regulated
10. Compliance	More complex	Less complex
11. Reporting	More complex	Less complex
12. Disclosure	More complex	Less complex
13. Transparency	More complex	Less complex
14. Accounting	More complex	Less complex
15. Internal Control	More complex	Less complex
16. External Audit	More complex	Less complex
17. Financial Statement	More complex	Less complex
18. Management Discussion	More complex	Less complex
19. Notes to Financial Statement	More complex	Less complex
20. Other Information	More complex	Less complex

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath	
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for <i>ex parte</i> reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	390	216	195	Extension for reply within second month	
117	890	217	445	Extension for reply within third month	
118	1,390	218	695	Extension for reply within fourth month	
128	1,890	228	945	Extension for reply within fifth month	
119	310	219	155	Notice of Appeal	
120	310	220	155	Filing a brief in support of an appeal	
121	270	221	135	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,240	241	620	Petition to revive - unintentional	
142	1,240	242	620	Utility issue fee (or reissue)	
143	440	243	220	Design issue fee	
144	600	244	300	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Petitions related to provisional applications	
126	240	126	240	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	
146	710	246	355	Filing a submission after final rejection (37 CFR § 1.129(a))	
149	710	249	355	For each additional invention to be examined (37 CFR § 1.129(b))	
179	710	279	355	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	
Other fee (specify)					

Other fee (specify)

* Reduced by Basic Filing Fee Paid

SUBTOTAL (3)	(\$)	0
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Complete (if applicable)

Name (Print/Type)	Molly D. McKay	Registration No. (Attorney/Agent)	35,609	Telephone	(918)742-5900
Signature				Date	12/07/00


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REQUEST AND CERTIFICATION UNDER 35 U.S.C. 122(b)(2)(B)(i)	First Named Inventor		DAN C. MORGAN
	Title	ON-LINE SIGNATURE VERIFICATION OF COLLECTIBLES	
	Atty Docket Number		MB4315.001

I hereby certify that the invention disclosed in the attached application **has not and will not be** the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. 122(b).

12/7/2000
Date


Signature
DAN C. MORGAN

Typed or printed name

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application **upon filing**.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant **must** notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. **Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).**

Burden Hour Statement: This collection of information is required by 37 CFR 1.213(a). The information is used by the public to request that an application not be published under 35 U.S.C. 122(b) (and the PTO to process that request). Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This form is estimated to take 6 minutes to complete. This time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. **DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.**

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WASHINGTON, D.C. 20231.

MOLLY D. MCKAY

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UNITED STATES PATENT APPLICATION

FOR

ON-LINE SIGNATURE VERIFICATION OF COLLECTIBLES

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